

Appln. No. 09/925,072

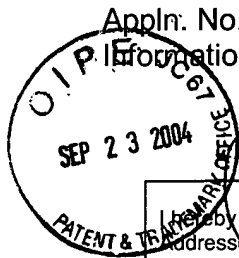
Information Disclosure Statement, filed 9/23/04

PATENT

Customer No. 22,852

Attorney Docket No. 7451.0003-02

InterTrust Ref. No. IT-9.2 (US)



CERTIFICATE OF EXPRESS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on September 23, 2004. Express Mail Label No.: EV 527340655US

Signed:

Athena E. Pretory

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Victor H. SHEAR et al.

Application No.: 09/925,072

Filed: August 6, 2001

For: SYSTEMS AND METHODS FOR
USING CRYPTOGRAPHY TO
PROTECT SECURE COMPUTING
ENVIRONMENTS

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)
) Group Art Unit: 2137

)
) Examiner: CALDWELL, Andrew T.

)
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) Confirmation No.: 3373

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

The documents listed and submitted in this Information Disclosure Statement correspond to references cited against a related Australian patent application in an Opposition Proceeding (see Notice Regarding Related Opposition Proceeding in Australian, filed herewith).

A copy of the listed, non-U.S. patent, documents are provided herewith via CD-ROM for the Office's convenience. Applicants respectfully request that the Examiner

consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: September 23, 2004

By: 
Andrew B. Schwaab
Reg. No. 38,611

Finnegan Henderson Farabow
Garrett & Dunner L.L.P.
Customer No. 22,852
(202) 408-4000



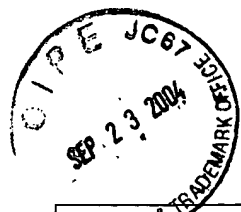
INFORMATION DISCLOSURE CITATION

Atty. Docket No.:	7451.0003-02	Appln. No.:	09/925,072
Applicants:	Victor H. SHEAR et al.	Examiner:	CALDWELL, Andrew T.
Filing Date:	August 6, 2001	Group:	2137

UNITED STATES PATENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,218,606	06/08/93	Low, et al			
	5,253,297	10/12/93	Press			
	5,757,915	05/26/98	Aucsmith			

Examiner Initial*	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
	AU653823	(republication of EP 0 518 466) Original Pub date 12/16/92	Australia			
	AU65011/96	(republication of WO 97/07657) Original Pub date 03/06/97	Australia			
	AU717615	06/12/97	Australia			

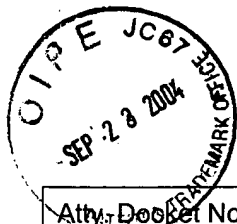
Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Birrell, Andrew D., et al., "A Global Authentication Service without Global Trust", Proc. IEEE Symp on Security and Privacy, April 1986. [See, for example, pp. 18].
	Department of Defense Standard, "Department of Defense Trusted Computer System Evaluation Criteria" DoD5200.28-STD, December 1985. [See, for example, paragraphs 3.3, 4.1, 4.2 and 6].
	Diffie, Whitfield, et al., "Authentication and Authenticated Key Exchanges", (1992 Kluwer Academic Publishers).
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	Everett, David B., "Smart Card Tutorial" published in sections between September 1992 and September 1994 at http://www.smartcard.co.uk/resources/tutorials/ . [See, for example, parts 2, 9-24 and 26].
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	Fuchsberger, Andreas, et al., "Public-key Cryptography on Smart Cards", Lecture Notes in Computer Science, 1995, pp. 250- 269 [See, e.g., pp. 265-266].



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Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Gasser, Morrie, et al., "The Digital Distributed System Security Architecture". 1989 [See, for example, pp. 1-5, 7-9 and 12].
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	National Institute of Standards and Technology, "Capstone Chip Technology", Apr. 30 1993.
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	Rivest, R.L., et al., "A Method for Obtaining Digital Signatures and Public-Key CryptoSystems", Communications of the ACM. 21(2), pp. 120-126 (Feb. 1978). [See, for example, pp. 1-6).
	Rouaix, Francois, "A Web navigator with applets in Cam]", 8 May 1996 [All sections relevant], and Rouaix, F., "MMM Documentation V 0.30 beta, undated [See, for example, section titled "Applets"], and Rouaix, F., "Caml Applets in MMM", undated [See, for example, section titled "Security Issues"].
	Rozenblit, Moshe, "Secure Software Distribution," 0-7803-1811- 0/94 IEEE (1994). [See, for example, pp. 486-496].
	Schneier, Bruce, "Applied Cryptography", Second Edition, December 1995.[See, for example, pp. 47-74, 357-359, 367-368, 436-441, 466-474. 483-502 and 584-595].
	Sibert, Olin, et al., DigiBox: A Self-Protecting Container for Information Commerce, Proceedings of the First USENIX Workshop on Electronic Commerce, New York, NY, July 1995, 9 pages.
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Examiner Initial*	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
	Tardo, Joseph, "An Introduction to Safety and Security in Telescript". General Magic Inc., 1995.
	Tardo, Joseph, et al. "Mobile Agent Security and Telescript", COMPCON Spring '96 - 41 st IEEE International Computer Conference, 25 to 28 February 1996 [See, for example, paragraphs 1.0, 2.0, 5.0 to 8.2 and 10.0].
	Thompson, Victoria, et al, "A Concept for Certification of an Army MLS Management Information System", Proceedings of the 16th National Computer Security Conference, Sept. 20-23, 1993 [See, for example, pp. 254-258].
	Van Slype, Georges, "Natural Language Version of the Generic CITED model, Volume 1: Presentation of the generic model", 6 September 1993. [See, for example, paragraphs 2.1.4, 2_1.5, 2.2.2, 2.2.3, 2.2.4, 2.2.6, 2.3.2.2, 2.3.2.3 and 2.3.2.4.
	Van Slype, Georges, "Natural Language Version of the Generic CITED model, Volume 2: CITED usage monitoring system design for computer based applications", 6 September 1993 [See, for example, paragraphs 2.1 and 2.3].
	Zimmerman, Philip, "Pretty Good Privacy: PGP User's Guide, revised I I October 1994. [See, for example, Vol. 1, pp. 2, 4, 5, 7 ("Encrypting a Message to Multiple Recipients"), 10, 11, 14 and 16 and Vol. 2, pp. 3, 6, 7, 8 and 21-29].

Examiner	Date Considered
*Examiner:	Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
Form PTO 1449 (modified)	Patent and Trademark Office - U.S. Department of Commerce